

# The Wealth Maximization Method™

## INFORMATION EXCHANGE



## Wealth Maximization Method

*Securities traded through Liberty Partners Financial Services, LLC; Office of Supervisory Jurisdiction (OSJ), 5060 California Ave, Suite 600, Bakersfield, CA 93309; Phone 800-440-0442; Member FINRA/SIPC. Fee based advisory services processed through and supervised by Liberty Partners Capital Management, LLC (LPCM) – a SEC registered investment advisory firm. Liberty Partners Financial Services, LLC is a separate entity from Davenport and Associates*



# The Wealth Maximization Method™

## WEALTH MAXIMIZATION QUESTIONNAIRE

### Personal Information

Client Name	<input type="text"/>	Spouse Name	<input type="text"/>
Date of Birth	<input type="text"/>	Date of Birth	<input type="text"/>
Social Sec #.	<input type="text"/>	Social Sec #.	<input type="text"/>
Marital Status	<input type="checkbox"/> Single <input type="checkbox"/> Married	Widowed	<input type="checkbox"/>
Address	<input type="text"/>	Alt. Address	<input type="text"/>
Occupation	<input type="text"/>		<input type="text"/>
Home Phone	<input type="text"/>	Business Phone	<input type="text"/>
Fax	<input type="text"/>	Email	<input type="text"/>
Children	Age	Grand Children	Age
	<input type="text"/>		<input type="text"/>
	<input type="text"/>		<input type="text"/>
	<input type="text"/>		<input type="text"/>
	<input type="text"/>		<input type="text"/>
US Citizenship?	<input type="checkbox"/> Yes <input type="checkbox"/> No	Spouse US Citizenship?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Have a Will?	<input type="checkbox"/> Yes <input type="checkbox"/> No	Last Update?	<input type="text"/>
Life Insurance?	<input type="checkbox"/> Yes <input type="checkbox"/> No	Owned by Trust?	<input type="checkbox"/> Yes <input type="checkbox"/> No
LTC?	<input type="checkbox"/> Yes <input type="checkbox"/> No	Planned Retirement Ages?	<input type="text"/> Spouse <input type="text"/>



# The Wealth Maximization Method™

## WEALTH MAXIMIZATION QUESTIONNAIRE

### Financial Data

Assets	Owned by Client	Owned by Spouse	Owned Jointly	Owned by Trust	Total
Bank Accounts					
Mutual Funds					
Stocks					
Bonds					
Retirement Accounts					
Fixed or Variable Annuities					
Life Insurance					
Primary Residence					
Other Property					
<b>Total</b>					
<b>Liabilities</b>					
Mortgage Residence					
Other Properties					
Other Liabilities					
<b>Total</b>					
<b>Net Worth</b>					



# The Wealth Maximization Method™

## WEALTH MAXIMIZATION QUESTIONNAIRE

### Cash Flow Analysis

Fixed Income	Monthly	Annually
Client's Social Security	\$	\$
Spouse's Social Security	\$	\$
Client's Pension	\$	\$
% Contribution to Survivor	\$	\$
Spouse's Pension	\$	\$
% Contribution to Survivor	\$	\$
Client's Current Income	\$	\$
Spouse's Current Income	\$	\$
Other	\$	\$
<b>Total Fixed Income</b>		
Supplemental Investment Income	Monthly	Annually
Client's Annual Required Minimum	\$	\$
Spouse's Annual Required Minimum	\$	\$
Dividends & Interest	\$	\$
Supplemental Occupational Income	\$	\$
Other 1	\$	\$
Other 2	\$	\$
Supplemental Occupational Income	\$	\$
<b>Total Pre-Tax Income</b>	\$	\$



# The Wealth Maximization Method™

## WEALTH MAXIMIZATION QUESTIONNAIRE

### Expense Components

Fixed Expenses	Monthly	Annually
Mortgage Payment Primary Residence	\$	\$
Mortgage Payment Secondary Property	\$	\$
Mortgage Vacation Home	\$	\$
Rent	\$	\$
Taxes	\$	\$
Common Charges	\$	\$
Car Payments	\$	\$
Auto Insurance Premiums	\$	\$
Life Insurance Premiums	\$	\$
Health Insurance Premiums	\$	\$
Long Term Care Insurance Premiums	\$	\$
Annual Dues or Memberships	\$	\$
Other (Please List)	\$	\$
1.	\$	\$
2.	\$	\$
3.	\$	\$
4.	\$	\$
5:	\$	\$
<b>Total Fixed Expenses</b>	\$	\$



# The Wealth Maximization Method™

## WEALTH MAXIMIZATION QUESTIONNAIRE

### Expense Components

Variable Expenses	Monthly	Annually
Utilities	\$ <input type="text"/>	\$ <input type="text"/>
Fuel	\$ <input type="text"/>	\$ <input type="text"/>
Phone	\$ <input type="text"/>	\$ <input type="text"/>
Cable / Internet	\$ <input type="text"/>	\$ <input type="text"/>
Medical Expenses	\$ <input type="text"/>	\$ <input type="text"/>
Food	\$ <input type="text"/>	\$ <input type="text"/>
Entertainment	\$ <input type="text"/>	\$ <input type="text"/>
Travel	\$ <input type="text"/>	\$ <input type="text"/>
Clothing	\$ <input type="text"/>	\$ <input type="text"/>
Gifts	\$ <input type="text"/>	\$ <input type="text"/>
Home Repairs	\$ <input type="text"/>	\$ <input type="text"/>
Auto Repairs	\$ <input type="text"/>	\$ <input type="text"/>
Credit Cards	\$ <input type="text"/>	\$ <input type="text"/>
Other (Please List)	\$ <input type="text"/>	\$ <input type="text"/>
1.	\$ <input type="text"/>	\$ <input type="text"/>
2.	\$ <input type="text"/>	\$ <input type="text"/>
3.	\$ <input type="text"/>	\$ <input type="text"/>
<b>Total Variable Expenses</b>	\$ <input type="text"/>	\$ <input type="text"/>
<b>Total Discretionary Income</b>	\$ <input type="text"/>	\$ <input type="text"/>



# The Wealth Maximization Method™

## CHECKLIST

---

Copy of Your Latest Tax Return

Completed Wealth Maximization Questionnaire

**Please note:** You do not have to be concerned about providing exact figures. Estimates are sufficient at this stage of the process.

### Copies of the Following Financial Statements

a. Bank Account Statements (first page showing balances only)

b. Itemized List of all Savings Bonds and Certificates of Deposits

c. Brokerage Account Statements

d. Mutual Fund Account Statements

e. List of all Stocks Held in Certificate Form

f. Annuity Statements

g. IRA Statements

h. Qualified Plan Account Statements  
(403(b), 401(k), 457, Pension Statements, Defined Benefit Plans)

i. Life Insurance Policy Statements

j. Long Term Care Policy Statements

### Copies of Estate Planning Documents

a. Wills

b. Trusts

c. Health Proxy

d. Living Will

e. Power of Attorney

*Securities traded through Liberty Partners Financial Services, LLC; Office of Supervisory Jurisdiction (OSJ), 5060 California Ave, Suite 600, Bakersfield, CA 93309; Phone 800-440-0442; Member FINRA/SIPC. Fee based advisory services processed through and supervised by Liberty Partners Capital Management, LLC (LPCM) – a SEC registered investment advisory firm. Liberty Partners Financial Services, LLC is a separate entity from Davenport and Associates*



800 Connecticut Avenue  
Suite E401  
Norwalk, CT 06854  
Phone: (203) 853-6300  
Fax: (203) 853-6301

Davenport & Associates (“Davenport”), and its employees and affiliates, respect the confidentiality of the information it collects and retains from our clients. We are providing you (our client) with this Privacy Statement to inform you of our privacy policies and procedures.

The information we collect and maintain about our clients comes directly from our clients or from third parties who provide us with client information pursuant to the direction of our clients. This information includes, but is not limited to, medical records, tax returns, legal documents, beneficiary designations, investment portfolio information, and personal data. The purpose of the collection of information is to enable Davenport to provide professional services to its clients and to comply with certain laws. We are mandated by the United States Federal Government to obtain certain information about clients in connection with the government’s efforts to curtail money laundering.

Davenport has a longstanding policy that it does not disclose information about current or former clients to any third party without the express consent of the client. Davenport may share client information with an “affiliated” party (such as a broker-dealer, financial services company or life insurance company) in connection with a transaction the client has entered into with Davenport or which the client has authorized Davenport to transact on the client’s behalf. Davenport will neither enter into nor carry out any transaction for a client without the expressed authority from the client to enter into or carry out such transaction. Davenport may also share client information to comply with a verifiable government agency’s verbal and/or written request when warranted by governing regulations or audits (i.e. SEC), to comply with a verifiable self-regulating authority’s verbal and/or written request when warranted by its governing regulations or audits (i.e. FINRA, etc.) or if we are compelled by law to do so.

Davenport limits employee access to information only to employees who have a business or professional reason for knowing, and only to nonaffiliated parties as permitted by law. (For example, federal regulations permit us to share a limited amount of information about you with a brokerage firm in order to execute securities transactions on your behalf.) We maintain a secure office and computer environment to ensure that your information is not placed at unreasonable risk.

Davenport & Associates

John F. Davenport, President